

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE 37 C.F.R. § 3.73(b) Statement RECEIVED

Applicants:

Turaga et al.

For:

SYSTEM AND METHODS FOR VIDEO COMPRESSION

MODE DECISIONS

Technology Center 2600

| Serial No.: | 10/085,616 | Filed: February 28, 2002 |
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| | | |

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Please Direct All Correspondence to: Jonathan C. Parks, Esquire

Kirkpatrick & Lockhart, LLP Henry W. Oliver Building 535 Smithfield Street Pittsburgh, PA 15222-2312

1 of 1

Docket No. 020003

Declaration

I hereby declare that my presentation of this paper constitutes a certification under 37 C.F.R § 10.18, which provides, in part, that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and that further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful statements may jeopardize the validity of the application and any patent issuing therefrom.

Date

Signature

Name

Susan Burkett

Title

Associate Provost

| Attorney's Docket No. | 020003 | PATENT | |
|----------------------------------|-----------------|--|------------------|
| | | For: ⊠ U.S. and/or ⊠ Foreign Rights For: ⊠ U.S. Application or ☐ U.S. Provisional Application For: ☐ U.S. Patent For: ☐ PCT Application By: Multiple Inventors | |
| A | SSIGNMENT OF IN | VENTION (MULTIPLE INVENTORS) | |
| | | SNEE to ASSIGNOR of the sum of One Doll for other good and valuable consideration, | ar (\$1.00), the |
| ASSIGNORS (Inventors) | : | | |
| Deepak S. Turaga | | 23 F Scenic Drive Croton on Hudson, NY 10520 | India |
| (type or print name of inventors | ;) | Address | Nationality |
| Tsuhan Chen | | 715 Carriage Drive Wexford, PA 15090 | Taiwan |
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| nereby sells | s, assigns and transfers to | |
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| ASSIGNEE | : | |
| Carnegie M (type or print n | lellon University name of ASSIGNEE) | 5000 Forbes Avenue Address |
| (a non-profi Nationality | t Pennsylvania organization) | Pittsburgh, PA 15213 |
| | | · |
| and the suc | ccessors, assigns and legal representatives o | of the ASSIGNEE |
| | (complete one of t | he following) |
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| and its territ | an undivided percent (_ torial possessions | %) interest for the United States |
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| improveme | and in all foreign countries, including all righ nts which are disclosed in the invention entitl SION MODE DECISIONS | ts to claim priority, in and to any and all ed SYSTEM AND METHODS FOR VIDEO |
| | (check and complete (a), (b |), (c), (d), (e), (f) or (g)) |
| and which is | s found in | |
| (a) 🗌 | U.S. patent application executed on even da | ate herewith |
| (b) 🗌 | U.S. patent application executed on | |
| (c) 🗌 | U.S. provisional application naming the abo | ve inventor(s) for the above-entitled invention |
| | Express mail label no.: | |
| | Mailed: | <u>.</u> |
| | | f this assignment, I, an ASSIGNOR signing below, y to insert below the filing date and application |
| (d) 🛚 | U.S. application no.: 10/085,616 filed on F | ebruary 28, 2002 |
| (e) 🗀 | International application no. PCT /filed on | · · · · · · · · · · · · · · · · · · · |
| (f) 🗌 | U.S. patent no. | issued |
| | A change of address to which comaintenance fees is being sent separate | prrespondence is to be sent regarding patentiely. |
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(g) and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or reexamination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal on the date(s) indicated below.

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

| Deepak S. Turaga | | man Deval | 04/17/2002 |
|-------------------------|----------------|-----------------------|------------|
| (type name of Inventor) | | Signature of INVENTOR | Date |
| Tsuhan Chen | | | |
| (type name of Inventor) | | Signature of INVENTOR | Date |
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NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized, then it will only be prima facie evidence of execution. 35 USC 261. Use next page if notarization is desired.

| Attorney's Docket No. | 020003 | PATENT | |
|---|--|--|------------------|
| | | For: U.S. and/or Foreign Rights For: U.S. Application or U.S. Provisional Application For: U.S. Patent For: PCT Application By: Multiple Inventors | |
| A | SSIGNMENT OF IN | IVENTION (MULTIPLE INVENTORS) | |
| In consideration of the receipt of which is hereby ASSIGNORS (Inventors): | acknowledged, and | GNEE to ASSIGNOR of the sum of One Doll for other good and valuable consideration, | ar (\$1.00), the |
| | | 22 E. Cappia Driva | |
| Doonal C. Turago | | 23 F Scenic Drive Croton on Hudson, NY 10520 | India |
| Deepak S. Turaga (type or print name of inventors | <u>, </u> | Address | Nationality |
| Tsuhan Chen | , | 715 Carriage Drive Wexford, PA 15090 | Taiwan |
| Tourian Onen | | | |
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| nereby sells, assigns and transfers to | | | |
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| ASSIGNEE: | | | |
| | 00 Forbes Avenue | | |
| (a non-profit Pennsylvania organization) Pitt Nationality | sburgh, PA 15213 | | |
| | · | | |
| and the successors, assigns and legal representatives of the | ASSIGNEE | | |
| (complete one of the fo | ollowing) | | |
| ★ the entire right, title and interest | | | |
| an undivided percent (and its territorial possessions | %) interest for the United States | | |
| (check the following box, if foreign rights | s are also to be assigned) | | |
| ☑ and in all foreign countries, including all rights to improvements which are disclosed in the invention entitled COMPRESSION MODE DECISIONS | claim priority, in and to any and all SYSTEM AND METHODS FOR VIDEO | | |
| (check and complete (a), (b), (c) | , (d), (e), (f) or (g)) | | |
| and which is found in | | | |
| (a) U.S. patent application executed on even date h | erewith | | |
| (b) U.S. patent application executed on | | | |
| (c) U.S. provisional application naming the above inventor(s) for the above-entitled invention | | | |
| Express mail label no.: | | | |
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| To comply with 37 CFR 3.21 for recordal of this hereby authorize and request my attorney to number when they become known. | s assignment, I, an ASSIGNOR signing below, insert below the filing date and application | | |
| (d) X U.S. application no.: 10/085,616 filed on Febru | ary 28, 2002 | | |
| (e) International application no. PCT /filed on | | | |
| (f) U.S. patent no. | _issued | | |
| A change of address to which corres maintenance fees is being sent separately. | pondence is to be sent regarding patent | | |
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(g) and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or reexamination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal on the date(s) indicated below.

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

| Deepak S. Turaga | | OL CONTRACTOR | D-1 |
|-------------------------|---------------------------------------|-----------------------|--|
| (type name of Inventor) | | Signature of INVENTOR | Date April 16,2082 |
| Tsuhan Chen | | In mill | April 10,2082 |
| (type name of Inventor) | · · · · · · · · · · · · · · · · · · · | Signature of INVENTOR | Date |
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